

**All New!**

Delaware Bankers Association and DFEA present



**November 13<sup>th</sup>, 14<sup>th</sup> & 15<sup>th</sup>**  
Wilmington University, New Castle, DE

- **Compliance Officers**
- **Auditors**
- **Lenders**
- **Attorneys**

Get the latest information on the trends and topics you need in the ever-changing regulatory compliance environment. Sessions and topics include: Fair lending, Deposit Compliance, UDAAP, BSA/AML, Financial Elder Abuse, and more!

**NEW!**

***Convenient Full-Day and Half-Day Sessions Available!***  
18 DE & PA CLE Credits, CPE and CRCM Credits Applied For

Presenting Sponsor

**Pepper Hamilton LLP**  
Attorneys at Law





## **Tuesday, November 13<sup>th</sup> - Lending Compliance**

**8:30 AM – 9:00 AM - Registration/Continental Breakfast**

**9:00 AM – 12:00 PM - Lending Fundamentals**

- Regulatory Requirements
  - CRA Basic Rules
  - Reg B
  - Reg O
  - Reg Z (close-end only)
  - HMDA
  - RESPA
- Best Practices

**12:00 PM – 1:00 PM Lunch**

**1:00 PM – 4:30 PM- Lending Hot Topics**

- Fair Lending
- S.2155: Economic Growth, Regulation Relief, and Consumer Protection Act
  - 2019 Reg Z Thresholds
- SCRA Military Service Requirements
- Flood Insurance
- Ethical Considerations

**4:30 PM Adjournment**

## **Wednesday, November 14<sup>th</sup> - Deposit Compliance**

**8:30 AM – 9:00 AM - Registration/Continental Breakfast**

**9:00 AM – 12:00 PM - Deposit Fundamentals**

- Regulatory Requirements
  - Reg DD
  - Reg CC
  - Reg E
  - UDAAP

**12:00 PM – 1:00 PM Lunch**

**1:00 PM – 4:30 PM - Deposit Hot Topics**

- Delaware Legislative Rules
- Beneficial Ownership
- SAR Filings
- New Reg CC Provisions
- Pitfalls of Social Media Advertising
- New Enforcement Actions
- Ethical Considerations

**4:30 PM Adjournment**

Delaware Bankers Association and DFEA present



## Thursday, November 15<sup>th</sup> - BSA/AML

**8:30 AM – 9:00 AM - Registration/Continental Breakfast**

**9:00 AM – 12:00 PM - Bank Secrecy Act (BSA) Fundamentals**

- Suspicious Activity Reporting (SAR)
- Money Laundering Methods
- USA PATRIOT Act
- Currency Transaction Reporting (CTRs)
- Office of Foreign Assets Control (OFAC)

**12:00 PM – 1:00 PM - Lunch**

**1:00 PM – 4:30 PM - BSA/AML Hot Topics**

- Financial Crimes Enforcement Network (FinCEN) evolution of applicable regulations
- Risk Assessments
- Enhanced Due Diligence Reports (EDD)
- Case Study

**4:30 PM Adjournment**

### *Training Providers*



American  
Bankers  
Association®

# CAPCO

THE FUTURE. **NOW.**

**Pepper Hamilton LLP**  
Attorneys at Law



**WILMINGTON**  
UNIVERSITY™



**November 13th, 14th, 15th  
Wilmington University  
New Castle, DE**

## Registration

**Please type or print**

\_\_\_\_\_  
First Name

\_\_\_\_\_  
Last Name

\_\_\_\_\_  
Email

\_\_\_\_\_  
Company

\_\_\_\_\_  
Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State                      Zip

\_\_\_\_\_  
Phone

\_\_\_\_\_  
Fax

**Fee includes continental breakfasts, lunches, breaks, and all materials.**

**Dietary Restrictions**

Gluten Free    Kosher    Vegetarian    Vegan

Other \_\_\_\_\_

Special Accommodations \_\_\_\_\_

**PLEASE REGISTER BY November 2, 2018**

**By Mail: Please print and complete the form and send to:  
DFEA, P.O. Box 494, Dover, DE 19903.**

**Via Fax: Print and complete the form and fax it to:  
(302) 678-5511.**

**Please use only one form per registrant.  
For multiple registrants, please copy  
or request additional forms.**

**No Shared or Split Registration.**

**Questions? Call 302-678-8600 or  
e-mail: renee.rau@debankers.com**

**I will be attending:**

	Members	Non-Members
<input type="checkbox"/> Entire 3 Days	\$1,650/\$1,495*	\$1,900/\$1,800*
<input type="checkbox"/> Nov. 13 <sup>th</sup> All Day	\$875/\$815*	\$995/\$945*
<input type="checkbox"/> Nov. 13 <sup>th</sup> Morning Only	\$450/\$410*	\$500/\$475*
<input type="checkbox"/> Nov. 13 <sup>th</sup> Afternoon Only	\$450/\$410*	\$500/\$475*
<input type="checkbox"/> Nov. 14 <sup>th</sup> All Day	\$875/\$815*	\$995/\$945*
<input type="checkbox"/> Nov. 14 <sup>th</sup> Morning Only	\$450/\$410*	\$500/\$475*
<input type="checkbox"/> Nov. 14 <sup>th</sup> Afternoon Only	\$450/\$410*	\$500/\$475*
<input type="checkbox"/> Nov. 15 <sup>th</sup> All Day	\$875/\$815*	\$995/\$945*
<input type="checkbox"/> Nov. 15 <sup>th</sup> Morning Only	\$450/\$410*	\$500/\$475*
<input type="checkbox"/> Nov. 15 <sup>th</sup> Afternoon Only	\$450/\$410*	\$500/\$475*

Total \$ \_\_\_\_\_

**\* Early registration discounted rate.**

**Note: Registrations must be received NO LATER THAN 10/10/18  
to receive discounted rate.**

**Payment Information**

- Send an invoice
- Check enclosed (for mail registrations)  
make check payable to: **Delaware Financial Education Alliance**  
and mail to: **DFEA, P.O. Box 494, Dover, DE 19903**
- Credit Card
  - MasterCard    Visa    Discover

\_\_\_\_\_  
Name on Card

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Card Number

\_\_\_\_\_  
Expiration Date